

1 fu 2823



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Interim Patent Application of:

Hirokazu YAMAGATA et al.

Application No. 10/073,284

Filed: February 13, 2002

For: LIGHT EMITTING DEVICE AND METHOD  
OF MANUFACTURING THE SAME

) Docket No. 740756-2435  
) Examiner: George R. Fourson, III  
) Art Unit: 2823  
) Dated: May 22, 2007

**REQUEST FOR INITIALED PTO-1449 FORM**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

A Notice of Allowance was issued for the above-identified application on April 18, 2007. An IDS was filed on May 11, 2005 in which the Examiner initialed and considered the U.S. patent references listed therein on August 31, 2005. However, the Examiner did not consider the foreign publications listed on the same Form PTO-1449, because the Examiner believed that no copies of the foreign publications were provided.

However, Applicants have reviewed the file history in PAIRS, and the references were received and scanned. These same references were re-filed on August 22, 2006 for consideration by the Examiner. A copy of the stamped receipt from the U.S. Patent and Trademark Office is attached hereto. Nevertheless, the aforementioned references were, again, not considered.

It is requested that the accompanying PTO-1449, which lists the aforementioned and previously unconsidered references, be considered and made of record in the above-identified application. As a courtesy and convenience to the Examiner, Applicants have again provided herewith copies of the eight (8) foreign references that have been downloaded from PAIRS. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Furthermore, the PTO-1449 filed on February 15, 2007 listed three references cited in the Office Action mailed on December 15, 2006 of the counterpart Chinese Patent Application No. 200210004596.8:

EP 1,006,587 A2, Publication Date June 7, 2000

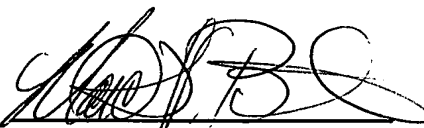
U.S. 5,853,905 A, Publication Date December 29, 1998

U.S. 6,188,176 B1, Publication Date February 13, 2001

The Examiner is also requested to consider the counterpart Chinese Patent Application No. 200210004596.8 listed on the attached PTO-1449; a copy of which is also provided for the Examiner's convenience. Chinese Patent Application No. 200210004596.8 is relevant to the general state of the art within the same field of endeavor as claimed by Applicants.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By:   
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<b>Substitute for form 1449A/P18</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/073,284
				Filing Date	February 13, 2002
				First Named Inventor	Hirokazu YAMAGATA et al.
				Art Unit	2823
Examiner Name	George R. Fourson, III				
Attorney Docket Number	740756-2435				
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP-0862156 A1	09/02/1998	KIMURA et al.		FULL
		EP-1338431 A2	08/27/2003	KAWAMURA et al.		FULL
		WO-98-12689 A1	03/26/1998	KIMURA et al.		FULL
		JP-2002-208477 A	07/26/2002	YAMAZAKI et al.		FULL
		JP-2002-231445 A2	08/16/2002	AOKI		FULL
		JP-2002-250881 A	09/06/2002	NANBA		FULL
		JP-2003-332055 A2	11/21/2003	UCHIDA		FULL
		JP-2003-347048 A2	12/05/2003	KUMAGAI et al.		FULL

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Chinese Patent Application No. 200210004596.8	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

This will acknowledge receipt of the following:

1. Transmittal
2. Petition for Two-Month Extension of Time
3. Amendment with courtesy copies of eight (8) references cited in IDS filed 05/11/2005
4. Permission to Charge Deposit Acct. 19-2380 \$450 for EOT Fee

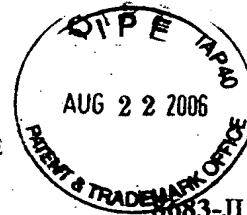
In re Patent Application of:

Inventor(s): Hirokazu YAMAGATA et al.

Serial No.: 10/073,284

Filed: February 13, 2002

Title: LIGHT EMITTING DEVICE AND METHOD OF MANUFACTURING THE SAME



**Due Date: August 22, 2006**

**Docket No. 740756-2435**

8683-JLC/LCD/mdd

Date: August 22, 2006

**HAND CARRY  
PLEASE DATE STAMP AND RETURN**